



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kenneth K. Sokoll  
Serial No. : 010/076,674  
Filed : February 14, 2002  
For : STABILIZED SYNTHETIC IMMUNOGEN DELIVERY SYSTEM  
Group Art Unit : 1642  
Examiner : To be assigned

**RESPONSE TO NOTICE TO COMPLY WITH**  
**SEQUENCE LISTING REQUIREMENTS**

Commissioner of Patents  
Washington, D.C. 20231

Box No Fee Amendment

Sir:

This is in response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures issued March 18, 2002. A period of two months was set for the response. Enclosed herewith is a copy of the Notice.

According to the Notice, there was an error in SEQ ID NO:2, in that there is an "n" in the first position and "n" cannot be used since it represents a nucleotide. Enclosed herewith is a substitute Sequence Listing wherein in SEQ ID NO:2, "n" has been deleted. Applicant has deleted "n" from the sequence in that "n" represents a phosphorothiorate group that was added to the N-terminal of SEQ ID NO:2 and is not a part of the sequence itself. This is supported by the specification at page 24, paragraph 0067, lines 5-6. No new matter is entered hereby. Entry of the substitute Sequence Listing is requested.

Respectfully submitted,

Maria C.H. Lin  
Reg. No. 29,323

Date: April 23, 2002

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/076,674	02/14/2002	Kenneth K. Sokoll	1151-4172

CONFIRMATION NO. 1691

## FORMALITIES LETTER



\*OC000000007664524\*

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Date Mailed: 03/18/2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
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*A copy of this notice **MUST** be returned with the reply.*

  
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PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT  
Docket No. 1151-4172

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Kenneth K. Sokoll Group Art Unit: 1642  
Serial No. : 10/076,674 Examiner: To be Assigned  
Filed : February 14, 2002  
For : **STABILIZED SYNTHETIC IMMUNOGEN DELIVERY SYSTEM**

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EV 062 747 212US

Date of Deposit April 23, 2002

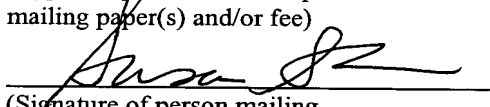
I hereby certify that the following attached paper(s) and/or fee

1. Response to Notice to Comply with Sequence Listing Requirements;
2. Copy of Notice;
3. Disk and paper copy of Sequence Listing;
4. Statement Under 37 C.F.R. §1.821(f) or §1.825(b);
5. Return Receipt Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Susan Shen

(Typed or printed name of person  
mailing paper(s) and/or fee)

  
(Signature of person mailing  
paper(s) and/or fee)

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